## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q77349

Tetsuya SHIOZAKI, et al.

Appln. No.: Unknown

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: September 19, 2003 Examiner: Unknown

For: METHOD FOR PRODUCING 3-METHYLTHIOPROPANAL

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. U.S. Patent No. 2,776,996 issued January 8, 1957.
- 2. Great Britain Patent No. 1,166961, published October 15, 1969.
- 3. U.S. Patent No. 4,225,516 issued September 30, 1980.
- 4. U.S. Patent No. 6,031,138 issued February 29, 2000.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution

Tetsuya SHIOZAĶI et al.

Q77349

INFORMATION DISCLOSURE STATEMENT

application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: September 19, 2003

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Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 1

Complete if Known				
Application Number	Unknown			
Confirmation Number	Unknown			
Filing Date	September 19, 2003			
First Named Inventor	Tetsuya SHIOZAKI			
Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	Q77349			

U.S. PATENT DOCUMENTS						
		Document N	Document Number			
	Cite No.1	Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 2,776,996		01/08/1957	Hunt et al.	
		US 4,225,516		09/30/1980	Biola et al.	
		US 6,031,138	A	02/29/2000	Hsu et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Cite		Foreign Patent Document			Publication Date	Name of Patentee or	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		GB	1,166,961		10/15/1969		

NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, Initials* No. journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published				
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Examiner Signature	<u> L</u>	Date Considered	<u> </u>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.